

Particle in Central Potential, Spherical Polar Coordinates, Radial Momentum, Spherical Harmonics

Schrödinger equation of a particle of mass  $m$  in central potential  $V(r)$

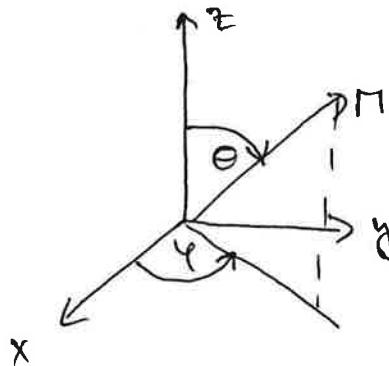
$\underline{p}$ : momentum of particle

$\underline{r}$ : its position

time-independent Schrödinger equation:

$$\underline{H} \Psi(\underline{r}) \equiv \left[ -\frac{\hbar^2}{2m} \Delta + V(r) \right] \Psi(\underline{r}) = E \Psi(\underline{r})$$

- the Hamiltonian has spherical symmetry, we can study the problem in spherical coordinates
- we choose the  $z$  axis to be the polar axis; the cartesian coordinates  $(x, y, z)$  are given as functions of the polar coordinates  $(r, \theta, \varphi)$



$$x = r \sin \theta \cos \varphi$$

$$y = r \sin \theta \sin \varphi$$

$$z = r \cos \theta$$

- the expression of the potential energy as a function of polar coordinates is already given

- we want to find the expression for the kinetic energy  $\frac{\underline{p}^2}{2m}$ , that is to express in polar coordinates the differential operator:

$$-\frac{\hbar^2}{2m} \Delta \equiv -\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right)$$

- this can be done directly by means of the transformation formulae by the usual techniques of differential calculus

- BUT, in order to better grasp the physical significance of the result, we shall seek to express the kinetic energy:  $\frac{P^2}{2m}$  not as function of the differential operators  $\frac{\partial}{\partial r}$  or  $i \frac{\partial}{\partial \theta}$  or  $i \frac{\partial}{\partial \varphi}$  themselves, but as function of Hermitean operators constructed with these ~~operators~~<sup>differential</sup> whose physical interpretation is more apparent
- hence, rather than using the differential operator  $\frac{\partial}{\partial \varphi}$  directly, it's better to use the component of the angular momentum along the z axis, which has the explicit form:

$$L_z = x p_y - y p_x = \frac{\hbar}{i} \left( x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} \right) = (*)$$

$$\frac{\partial x}{\partial \varphi} = -r \sin \theta \sin \varphi = -y$$

$$\frac{\partial y}{\partial \varphi} = r \sin \theta \cos \varphi = x$$

$$\frac{\partial z}{\partial \varphi} = 0$$

$$(*) = \frac{\hbar}{i} \left( \frac{\partial y}{\partial \varphi} \frac{\partial}{\partial y} + \frac{\partial x}{\partial \varphi} \frac{\partial}{\partial x} + \frac{\partial z}{\partial \varphi} \frac{\partial}{\partial z} \right) = \frac{\hbar}{i} \frac{\partial}{\partial \varphi}$$

[Noting that

$$\frac{\partial}{\partial \alpha} = \left( \frac{\partial r}{\partial \alpha} \right) \frac{\partial}{\partial r} + \left( \frac{\partial \theta}{\partial \alpha} \right) \frac{\partial}{\partial \theta} + \left( \frac{\partial \varphi}{\partial \alpha} \right) \frac{\partial}{\partial \varphi}$$

- since  $V(r)$  does not depend on  $\varphi$ , it's clear that  $L_z$  commutes with the potential energy
- however,  $L_z$  also commutes with the kinetic energy  $\frac{P^2}{2m}$  as one can easily verify by using the definition of  $L_z$  & the commutation relations:  $[r_i, p_j] = i\hbar \delta_{ij}$

Exercise #1: Show that

$$[L_j, x_k] = i\hbar \epsilon_{jkl} x_e$$

$$[L_j, p_k] = i\hbar \epsilon_{jkl} p_e$$

& thus

$$[L_j, r^2] = [L_j, p^2] = 0$$

Proof:  $L_j = \epsilon_{jrs} x_r p_s$

$$\begin{aligned}[L_j, x_k] &= \epsilon_{jrs} [x_r p_s, x_k] = \\ &= \epsilon_{jrs} (x_r p_s x_k - x_r x_k p_s + x_r x_k p_s - x_k x_r p_s) = \\ &= \epsilon_{jrs} x_r \underbrace{[p_s, x_k]}_{\frac{\hbar}{i} \delta_{sk}} + \epsilon_{jrs} \underbrace{[x_r, x_k]}_{0} p_s = \\ &= \frac{\hbar}{i} \epsilon_{jrk} x_r = i\hbar \epsilon_{jke} x_e \quad \square\end{aligned}$$

$$[L_j, p_k] = \dots \text{ the same way as above}$$

Consequence #1

$$\begin{aligned}[L_j, r^2] &= [L_j, x_k x_k] = L_j x_k x_k - x_k L_j x_k + x_k L_j x_k \\ &- x_k^2 L_j = [L_j, x_k] x_k + x_k [L_j, x_k] = 2i\hbar \epsilon_{ijk} x_i x_k \\ &= -2i\hbar (x \times r)_j = 0\end{aligned}$$

Consequence #2

$$\begin{aligned}[L_j, p^2] &= [L_j, p_k p_k] = [L_j, p_k] + p_k [L_j, p_k] = \\ &= 2i\hbar (p \times p)_j = 0 \quad \square\end{aligned}$$

using that  $[A, B^{-1}] = -B^{-1} [A, B] B^{-1}$

$$\Rightarrow [L_i, \frac{1}{r^2}] = -\frac{1}{r^2} [L_i, r^2] \frac{1}{r^2} = 0$$

$$[L_{ij}, r] = \frac{\hbar}{i} \epsilon_{ijk} [x_j \partial_k, (x_e x_e)^{1/2}] =$$

$$= \frac{\hbar}{i} \epsilon_{ijk} [x_j \partial_k (x_e x_e)^{1/2} - r x_j \partial_k] = (\star\star)$$

$$\partial_k r = \partial_k (x_e x_e)^{1/2} = \frac{1}{2} \frac{\partial x_e x_e^2}{(x_e x_e)^{1/2}} = \frac{x_k}{r}$$

$$(\star\star) = \frac{\hbar}{i} \epsilon_{ijk} \frac{x_j x_k}{r} = \frac{\hbar}{i} \left( \frac{x_i x_i}{r} \right)_i = 0$$

$$[L_{ij}, r] = 0 \Rightarrow [L_{ij}, \frac{1}{r}] = 0$$

In the same spirit as using  $L_{z1}$  we use the radial momentum

$$p_r = \frac{\hbar}{i} \frac{1}{r} \frac{\partial}{\partial r} r = \frac{\hbar}{i} \left( \frac{\partial}{\partial r} + \frac{1}{r} \right)$$

rather than the operator  $\frac{\hbar}{i} \frac{\partial}{\partial r}$  which is not Hermitian

Exercise #2: Show that

$$\boxed{\frac{r}{\tau} f + \frac{\hbar}{i} \frac{1}{r} = \frac{1}{2} \left( \frac{r}{\tau} f + f \frac{r}{\tau} \right)} = p_r$$

Right hand side =

$$= \frac{1}{2} \frac{r}{\tau} f + \frac{\hbar}{2i} \left[ \frac{\partial}{\partial x} \left( \frac{x}{\tau} \right) + \frac{\partial}{\partial y} \left( \frac{y}{\tau} \right) + \frac{\partial}{\partial z} \left( \frac{z}{\tau} \right) \right] =$$

$$= (\star\star\star)$$

$$\frac{\partial}{\partial x} \frac{x}{\sqrt{x^2+y^2+z^2}} = \frac{r - x \frac{x}{r}}{r^2}$$

$$\Rightarrow (\star\star\star) = \frac{1}{2} \frac{r}{\tau} f + \frac{\hbar}{2i} \left( \frac{3r - \frac{r^2}{r}}{r^2} \right) + \frac{1}{2} \frac{r}{\tau} f =$$

$$= \boxed{\frac{r}{\tau} f + \frac{\hbar}{i} \frac{1}{r} = p_r}$$

□

$$r \cdot f = \left( x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} + z \frac{\partial}{\partial z} \right) \frac{\hbar}{i}$$

$$\text{Claim: } x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} + z \frac{\partial}{\partial z} = r \frac{\partial}{\partial r}$$

Proof:

$$\begin{aligned}
 r \frac{\partial}{\partial r} &= r \left( \frac{\partial x}{\partial r} \frac{\partial}{\partial x} + \frac{\partial y}{\partial r} \frac{\partial}{\partial y} + \frac{\partial z}{\partial r} \frac{\partial}{\partial z} \right) = \\
 &= r \left( \sin \theta \cos \varphi \frac{\partial}{\partial x} + \sin \theta \sin \varphi \frac{\partial}{\partial y} + \cos \theta \frac{\partial}{\partial z} \right) = \\
 &= x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} + z \frac{\partial}{\partial z} \\
 \Rightarrow r \cdot p_r &= r \frac{t}{i} \frac{\partial}{\partial r} = r p_r - \frac{t}{i} = r p_r + i \frac{t}{i}
 \end{aligned}$$

Thus in fact we have

$$p_r = \frac{t}{i} \left( \frac{1}{r} + \frac{\partial}{\partial r} \right) = \frac{t}{i} \frac{1}{r} \frac{\partial}{\partial r} - r \quad \square$$

Claim:  $p_r$  is Hermitian

Proof: To establish the Hermitian property of  $p_r$ , let us examine under which condition the expression  $\langle \psi | p_r \psi \rangle$  (in which  $\psi(z)$  is any square integrable function) is real. One must have that,

$$\langle \psi | p_r \psi \rangle = \langle p_r \psi | \psi \rangle = \langle \psi | p_r \psi \rangle^*$$

that is

$$\begin{aligned}
 0 &= \langle \psi | p_r \psi \rangle - \langle \psi | p_r \psi \rangle^* \\
 &\equiv \int_{\frac{\pi}{2}}^{\frac{\pi}{2}} [\psi^*(p_r \psi) - (p_r \psi)^* \psi] dr \\
 &= \frac{t}{i} \int_{\frac{\pi}{2}}^{\frac{\pi}{2}} \sin \theta d\theta \int_0^{2\pi} d\varphi \left\{ \left[ \psi^* \left[ \left( \frac{\partial}{\partial r} + \frac{1}{r} \right) \psi \right] r^2 + \right. \right. \\
 &\quad \left. \left. + \left[ \left( \frac{\partial}{\partial r} + \frac{1}{r} \right) \psi^* \right] \psi r^2 \right] \right\} dr = \frac{t}{i} \int_{\frac{\pi}{2}}^{\frac{\pi}{2}} \sin \theta d\theta \int_0^{2\pi} d\varphi \\
 &\quad \times \int_0^\infty [|\psi|^2 r + \psi^* (\partial_r \psi) r^2 + |\psi|^2 r + (\partial_r \psi^*) \psi r^2] dr \\
 &= \frac{t}{i} \int_{\frac{\pi}{2}}^{\frac{\pi}{2}} \sin \theta d\theta \int_0^{2\pi} d\varphi \int_0^\infty [2r|\psi|^2 + (\partial_r \psi^*) \psi r^2 + \\
 &\quad + \psi^* (\partial_r \psi) r] dr = \frac{t}{i} \int_{\frac{\pi}{2}}^{\frac{\pi}{2}} \sin \theta d\theta \int_0^{2\pi} \int_0^\infty \left[ \frac{\partial}{\partial r} [r|\psi|^2] \right] dr
 \end{aligned}$$

Since  $r^4$  vanishes as  $r \rightarrow \infty$ , the integral with respect to  $r$  is = to its value taken at the origin

$\Rightarrow$  the operator  $p_r$  is Hermitian only if one restricts oneself to square-integrable functions subject to the condition:

$$\lim_{r \rightarrow 0} r^4 f(r) = 0$$

### Definition of the Spherical Harmonics $Y_e^m(\theta, \phi)$

Eigenfunctions common to the operators  $L_z^2$  &  $L_z$

$$L_z^2 Y_e^m = \hbar^2 l(l+1) Y_e^m$$

$$L_z Y_e^m = \hbar m Y_e^m$$

$$(l=0, 1, 2, \dots, \infty; m = -l, -l+1, \dots, l)$$

One completes their definition by adopting the conventions

a)  $Y_e^m$  are normalized to unity on the unit sphere

b) their phases are such that the recursion relations:

$$L_\pm Y_e^m = [l(l+1) - m(m \pm 1)]^{1/2} Y_e^{m \pm 1}$$

$$= [(l \mp m)(l+1 \pm m)]^{1/2} Y_e^{m \pm 1}$$

are satisfied &  $Y_e^0(\theta, \phi)$  is real & positive

Orthonormality & Closure Relations

$$\int Y_e^{m*} Y_e^{m'} d\Omega \equiv \int_0^{2\pi} d\varphi \int_0^\pi \sin\theta d\theta Y_e^{m*}(\theta, \varphi) Y_e^{m'}(\theta, \varphi) =$$

$$= \delta_{mm'} \delta_{ll'}$$

$$\sum_{l=0}^{\infty} \sum_{m=-l}^l Y_e^{m*}(\theta, \varphi) Y_e^m(\theta, \varphi) = \frac{\delta(\theta - \theta') \delta(\varphi - \varphi')}{\sin \theta} \stackrel{?}{=} \delta(\Omega - \Omega')$$

The  $Y_e^m$  form a complete set of orthonormal, square integrable functions on the unit sphere.

## Recursion Relations

$$\begin{aligned} L_{\pm} Y_e^m &= [l(l+1) - m(m \pm 1)]^{1/2} Y_e^{m \pm 1} \\ &= [(l+m)(l+1 \mp m)]^{1/2} Y_e^{m \pm 1} \\ \cos \theta Y_e^m &= \left[ \frac{(l+1+m)(l+1-m)}{(2l+1)(2l+3)} \right]^{1/2} Y_{l+1}^m + \\ &\quad + \left[ \frac{(l+m)(l-m)}{(2l+1)(2l-1)} \right]^{1/2} Y_{l-1}^m \end{aligned}$$

## Parity

- under space reflection  $(\theta, \varphi) \rightarrow (\pi - \theta, \varphi + \pi)$

$$Y_e^m(\pi - \theta, \varphi + \pi) = (-)^l Y_e^m(\theta, \varphi)$$

## Complex Conjugation

$$Y_e^{m*}(\theta, \varphi) = (-)^m Y_e^{-m}(\theta, \varphi)$$

## Connection with the Associated Legendre Functions ( $m \geq 0$ )

$$Y_e^m(\theta, \varphi) = (-)^m \left[ \frac{(2l+1)}{4\pi} \frac{(l-m)!}{(l+m)!} \right]^{1/2} P_e^m(\cos \theta) e^{im\varphi}$$

$Y_e^m$  is the product of  $e^{im\varphi} \sin^{|m|} \theta$  & a polynomial of degree  $(l-|m|)$  in  $\cos \theta$ . A parity  $(-)^{l-m}$ .

## In particular

$$m=0: Y_e^0 = \sqrt{\frac{2l+1}{4\pi}} P_l(\cos \theta)$$

$$m=l: Y_e^l = (-1)^l \left[ \frac{(2l+1)}{4\pi} \frac{(2l)!}{2^{2l} (l!)^2} \right]^{1/2} \times \sin^l \theta e^{il\varphi}$$

## 1st Few Spherical Harmonics

$$Y_0^0 = \frac{1}{\sqrt{4\pi}} ; Y_1^0 = \sqrt{\frac{3}{4\pi}} \cos\theta ; Y_2^0 = \sqrt{\frac{5}{16\pi}} (3\cos^2\theta - 1)$$

$$Y_3^0 = \sqrt{\frac{7}{16\pi}} (5\cos^3\theta - 3\cos\theta)$$

$$Y_1^1 = -\sqrt{\frac{3}{8\pi}} \sin\theta e^{i\varphi} ; Y_2^1 = -\sqrt{\frac{15}{8\pi}} \sin\theta \cos\theta e^{i\varphi}$$

$$Y_3^1 = -\sqrt{\frac{21}{64\pi}} \sin\theta (5\cos^2\theta - 1) e^{i\varphi}$$

$$Y_2^2 = \sqrt{\frac{15}{32\pi}} \sin^2\theta e^{2i\varphi} ; Y_3^2 = \sqrt{\frac{105}{32\pi}} \sin^2\theta \cos\theta e^{i\varphi}$$

$$Y_3^3 = -\sqrt{\frac{35}{64\pi}} \sin^3\theta e^{3i\varphi}$$

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## The Spectrum of $L^2$ & $L_z$

- we want to find the function  $F_l^m(\theta, \varphi)$ , that satisfies the 2 eigenvalue equations: ( $\hbar = 1$  units)

$$(1) \quad L^2 F_l^m(\theta, \varphi) = l(l+1) F_l^m(\theta, \varphi)$$

$$(2) \quad L_z F_l^m(\theta, \varphi) = m F_l^m(\theta, \varphi)$$

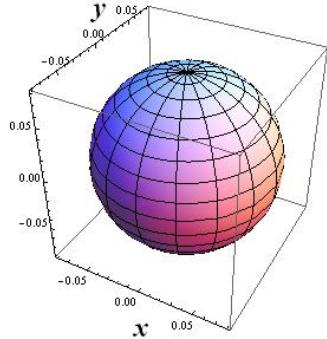
- since  $L$  wave function is a single-valued function of  $\varphi$ ,  $F_l^m(\theta, \varphi)$  must remain unchanged when  $\varphi$  is replaced with  $\varphi + 2\pi$

- since  $L_z = -i \frac{\partial}{\partial \varphi} \Rightarrow F_l^m(\theta, \varphi)$  is necessarily of the form  $f_l^m(\theta) e^{im\varphi}$  with  $m$  integer

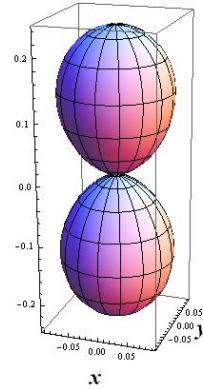
- since  $m$  is integer  $\Rightarrow l$  must also be integer  
(there's no half-integral orbital angular momentum)

Source: A. Messiah, Quantum Mechanics, Dover Publication

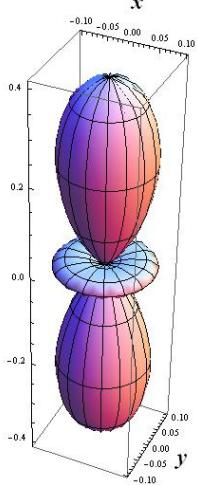
$$\{l = 0, m = 0, \frac{1}{4\pi}\}$$



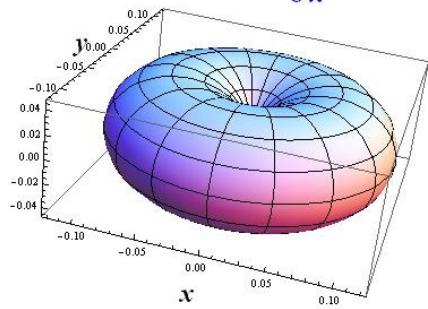
$$\{l = 1, m = 0, \frac{3 \cos(\theta) \cos(\theta)^*}{4\pi}\}$$



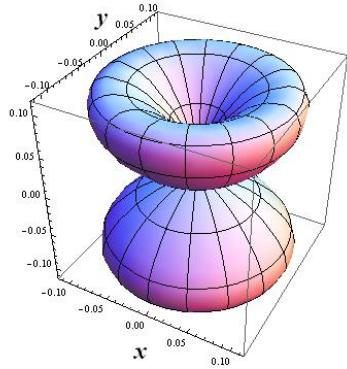
$$\{l = 2, m = 0, \frac{5(3 \cos^2(\theta) - 1)(3(\cos(\theta))^2 - 1)}{16\pi}\}$$



$$\{l = 1, m = 1, \frac{3 e^{i\phi-i\phi^*} \sin(\theta) \sin(\theta)^*}{8\pi}\}$$



$$\{l = 2, m = 1, \frac{15 e^{i\phi-i\phi^*} \sin(\theta) \cos(\theta) (\cos(\theta) \sin(\theta))^*}{8\pi}\}$$



$$\{l = 2, m = 2, \frac{15 e^{2i\phi-2i\phi^*} \sin^2(\theta) (\sin(\theta))^2}{32\pi}\}$$

