# 3. Exercise on QFT for many-body systems

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**Intro:** The Fermi liquid (FL) theory by Lev Landau, postulating the existence of independent quasi-particles with the same charge and spin of the original electrons but with energy  $\epsilon_{\mathbf{p}} \neq \frac{p^2}{2m}$ , allows to solve the "puzzle" of the metallic physics, that is to understand why the qualitative behavior of several observables in metals resembles so closely that of a non-interacting (!) electron gas. Starting point of this phenomenological approach is the consideration that the energy change  $\delta E$  due to adding/removal of quasiparticles ( $\delta n_{\mathbf{p},\sigma}$ ) with momentum  $\mathbf{p}$  and spin  $\sigma$  to/from the Fermi sphere is given by the following functional (note that in the truly non-interacting case, this would just be a number) :

$$\delta E[\delta n_{\mathbf{p},\sigma}] = \sum_{\mathbf{p},\sigma} \tilde{\epsilon}_{\mathbf{p}} \, \delta n_{\mathbf{p},\sigma} + \frac{1}{2} \sum_{\mathbf{p},\mathbf{p}';\,\sigma,\sigma'} f_{\sigma,\sigma'}(\mathbf{p},\mathbf{p}') \, \delta n_{\mathbf{p},\sigma} \, \delta n_{\mathbf{p}',\sigma'} + \cdots \tag{1}$$

The coefficients of the first term of the sum  $(\tilde{\epsilon}_{\mathbf{p}})$ , which represents the energies for creating an excitation with momentum  $\mathbf{p}$  without considering the feedback effect of the other quasiparticles, are usually expanded (in the isotropic case) as  $\tilde{\epsilon}_{\mathbf{p}} \sim \tilde{\epsilon}_F + \tilde{v}_F(p - p_F) + \cdots$ , whereas  $v_F = |\frac{\partial \tilde{\epsilon}_{\mathbf{p}}}{\partial \mathbf{p}}|_{p=p_F}| \simeq \frac{p_F}{m^*}$ , being  $m^*$  the (enhanced) effective mass<sup>1</sup>. Finally, it is important to note that the quasi-particle distribution function  $n_{\mathbf{p}}$  has the same form of as for non-interacting electrons, but in term of the quasiparticle energy  $\epsilon_{\mathbf{p}}$ .

## 5. First steps in calculating a Fermi liquid (FL) $1+1^*+2=3+1^*$ points

- a) Verify that the so-called quasiparticle density of states for  $\epsilon = \tilde{\epsilon}_F$  defined as  $\tilde{N}(\epsilon) = \frac{1}{L^d} \sum_{\mathbf{p}} \delta(\epsilon \tilde{\epsilon}_{\mathbf{p}})$  can be easily expressed as  $\frac{m^*}{m} N(\epsilon_F)$ , where  $N(\epsilon_F)$  is the DOS of the corresponding non-interacting system.
- **b)** Derive from Eq. 1, the formal expression of the (full) quasi-particle energy, defined as the energy necessary to add an excitation of momentum **p** close to the Fermi level, that is  $\epsilon_{\mathbf{p}} = \frac{\delta E}{\delta n_{\mathbf{p},\sigma}}$ . Which is the physical meaning of the term correcting the value of  $\tilde{\epsilon}_{\mathbf{p}}$ ? Are the values of  $\epsilon_{\mathbf{p}}$  depending on temperature or chemical potential? Motivate your answer.
- c) Calculate the specific heat at constant volume  $c_V$  for the non-interacting Fermi gas in three dimensions:

$$c_V = \left(\frac{\partial E}{\partial T}\right)_V$$

where for this specific case

$$E = E_{\rm kin} = \left\langle \sum_{\vec{p},\sigma} \epsilon_p c^{\dagger}_{\vec{p},\sigma} c_{\vec{p},\sigma} \right\rangle \quad \text{with } \epsilon_p = \frac{p^2}{2m}$$

What is the temperature dependence of  $c_V$ ? How will the final result change for interacting electrons under the assumption that the Fermi liquid theory can be applied?

<sup>&</sup>lt;sup>1</sup>As explained in the Lecture the mass enhancement can be related microscopically to the momentum and frequency derivatives of the self-energy at the Fermi level (see also exercise 6).

### 6. FL parameters from a microscopic theory

In interacting microscopic theories one of the most important quantities is, arguably, the selfenergy  $\Sigma(\vec{k}, \omega)$ . In the lecture it was shown that for a metal one can connect values extracted out of a Taylor expansion of the self-energy around the Fermi level to the phenomenological parameters of the Landau Fermi liquid theory.

In particular, if the self-energy out of the microscopic theory considered (e.g. dynamical mean field theory) is purely local [i.e., not  $\vec{k}$ -dependent:  $\Sigma(\vec{k},\omega) = \Sigma(\omega)$ ], one obtains the following relations:

$$Z = \left[1 - \frac{\partial \operatorname{Re} \Sigma(\omega)}{\partial \omega}\Big|_{\omega \to 0}\right]^{-1}, \quad \frac{m^*}{m} = Z^{-1}, \quad \Gamma = -\operatorname{Im} \Sigma(\omega)\Big|_{\omega \to 0}$$
(2)

where Z is the quasiparticle weight,  $m^*$  the effective mass and  $\Gamma$  defines the quasiparticle scattering rate so that the quasiparticle lifetime is  $\tau = (2Z\Gamma)^{-1}$ .

Now consider the file siw-data.txt. It contains local fermionic self-energies on the positive Matsubara axis  $\Sigma_c(i\nu_n)$  for four different physical cases c = 1, 2, 3, 4 at the inverse temperature  $\beta = 50 \text{ eV}^{-1}$ . The format of the columns in the file is  $\nu_n$  — Re  $\Sigma_1(i\nu_n)$  — Im  $\Sigma_1(i\nu_n)$  — Re  $\Sigma_2(i\nu_n)$  ...

- a) Plot the real and imaginary parts of the self-energies in all cases, respectively.
- b) For cases one to three numerically extract the quasiparticle weight Z, the effective mass  $\frac{m^*}{m}$  and  $\Gamma$ . What is the difference between these cases and how could one interpret those? Hint: In principle one would have to analytically continue the self-energy from the Matsubara to the real frequency axis  $(i\nu_n \to \omega + i\delta)$ . However, indicative results can also be obtained by simply using a polynomial fit of  $\Sigma(i\nu_n)$  in the region of low (positive) Matsubara frequencies and extracting the parameters out of it thereafter via the following modified formulas:

$$Z = \left[ 1 - \frac{\partial Im \Sigma(i\nu_n)}{\partial \nu_n} \Big|_{\nu_n \to 0} \right]^{-1}, \quad \Gamma = -Im \Sigma(i\nu_n) \Big|_{\nu_n \to 0}$$

c) What about the fourth case?

### 7. From causality to Kramers-Kronig relations

3 points

In this exercise it should be demonstrated that the Kramers-Kronig relations for a frequencydependent function  $\tilde{f}(\omega)$  are a consequence of the causality of its inverse Fourier transform f(t) in the time regime, i.e., of the property  $f(t) \equiv 0$  for t < 0. The goal is to derive the Kramers-Kronig relations for  $\tilde{f}(\omega)$  by starting from the identity  $f(t) = f(t)\theta(t)$ . Hint: For your calculations use the convolution theorem and the Fourier transform of the  $\theta$ -function, which has been discussed in the lecture.

\* Bonus points

Viel Spaß!