

**Exercise ML2** For each of the following schematic formulas, show that they are valid in  $\mathcal{F}$  and provide concrete counter-examples that show that removal of some accessibilities leads to invalidity:

$\Box(A \supset A)$

Since  $A \supset A$  is a classical tautology, it holds in every world, and therefore  $\Box(A \supset A)$  holds in every world as well. Furthermore, the removal of some accessibilities does not yield invalidity.

$\Diamond\Diamond A \supset \Diamond A$

Let  $x \in \{w, u\}$  be an arbitrary world and  $A$  an arbitrary formula, and suppose  $\mathcal{M}, x \models \Diamond\Diamond A$ . Then, there exists a world  $y \in \{w, u\}$  s.t.  $xRy$  and  $\mathcal{M}, y \models \Diamond A$ , moreover there must be a world  $z \in \{w, u\}$  s.t.  $yRz$  and  $\mathcal{M}, z \models A$ . Since  $\mathcal{F}$  is transitive,  $xRz$  must hold as well, and therefore  $\mathcal{M}, x \models \Diamond A$ , and  $\mathcal{M}, x \models \Diamond\Diamond A \supset \Diamond A$ . We can conclude  $\mathcal{F} \models \Diamond\Diamond A \supset \Diamond A$ .

The removal of  $wRw$  yields invalidity. Consider a model  $\mathcal{M}$  based on  $\mathcal{F}$  s.t.  $v_{\mathcal{M}}(p, w) = \mathbf{1}$  and  $v_{\mathcal{M}}(p, u) = \mathbf{0}$ . Then  $\mathcal{M}, w \models \Diamond\Diamond p$  holds, but  $\mathcal{M}, w \not\models \Diamond p$ . Therefore  $\mathcal{M}, w \not\models \Diamond\Diamond p \supset \Diamond p$  and  $\mathcal{F} \not\models \Diamond\Diamond p \supset \Diamond p$ .

$A \supset \Box\Diamond A$

Let  $x \in \{w, u\}$  be an arbitrary world and  $A$  an arbitrary formula, and suppose  $\mathcal{M}, x \models A$ . Since  $\mathcal{F}$  is symmetric we have  $\mathcal{M}, y \models \Diamond A$  for each world  $y \in \{w, u\}$  s.t.  $xRy$ . Therefore we have  $\mathcal{M}, x \models \Box\Diamond A$  and  $\mathcal{M}, x \models A \supset \Box\Diamond A$ . We can conclude  $\mathcal{F} \models A \supset \Box\Diamond A$ .

The removal of  $uRw$  yields invalidity. Consider a model  $\mathcal{M}$  based on  $\mathcal{F}$  s.t.  $v_{\mathcal{M}}(p, w) = \mathbf{1}$  and  $v_{\mathcal{M}}(p, u) = \mathbf{0}$ . Then  $\mathcal{M}, w \models p$  holds, and  $\mathcal{M}, u \not\models \Diamond p$ . Therefore  $\mathcal{M}, w \not\models \Box\Diamond p$  and  $\mathcal{M}, w \not\models p \supset \Box\Diamond p$ . Moreover  $\mathcal{F} \not\models p \supset \Box\Diamond p$ .

**Exercise ML3** Show that the intersection of two logics is also a logic. What about unions of logics? (Prove or refute!)

Let  $\mathcal{L}_1, \mathcal{L}_2$  be arbitrary logics and  $\mathcal{L} = \mathcal{L}_1 \cap \mathcal{L}_2$  the intersection of them. Furthermore, let  $F, F \supset G \in \mathcal{L}$ . Hence,  $F, F \supset G \in \mathcal{L}_1$  and  $F, F \supset G \in \mathcal{L}_2$ . Since  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are closed under *modus ponens*, we have  $G \in \mathcal{L}_1$ ,  $G \in \mathcal{L}_2$ , and therefore  $G \in \mathcal{L}$ . In conclusion, if  $F, F \supset G \in \mathcal{L}$  then  $G \in \mathcal{L}$ , therefore  $\mathcal{L}$  is closed under *modus ponens*.

Let  $F \in \mathcal{L}$  be an arbitrary formula,  $\pi$  an arbitrary substitution ( $PV_{\mathcal{L}} \mapsto FORM_{\mathcal{L}}$ ) and  $F[\pi]$  the formula resulting from  $F$  by applying  $\pi$ . Since  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are closed under *substitution*, we have  $F[\pi] \in \mathcal{L}_1$ ,  $F[\pi] \in \mathcal{L}_2$ , and therefore  $F[\pi] \in \mathcal{L}$ . Hence, we can conclude  $\mathcal{L}$  is closed under *substitution*.

Let  $\mathcal{L}_1 = \{\perp\}$  and  $\mathcal{L}_2 = \{\perp \supset \top\}$ . Both,  $\mathcal{L}_1$  and  $\mathcal{L}_2$ , are logics since both are closed under *substitution* and *modus ponens*. However, the union  $\mathcal{L} = \mathcal{L}_1 \cup \mathcal{L}_2 = \{\perp, \perp \supset \top\}$  is not closed under *modus ponens* since  $\top \notin \mathcal{L}$ , and therefore it is not a logic.

**Exercise ML4** Specify a concrete counter-example to  $F \supset \Box F$ . Is there a frame in which  $F \supset \Box F$  is valid? (Prove or refute!)

Let  $\mathcal{M} = \langle W, R, V \rangle$  be a model s.t. there are worlds  $w, u \in W$  and  $wRu$ . Now consider an assignment  $v_{\mathcal{M}}(p, w) = \mathbf{1}$  for some  $p \in PV$  and  $v_{\mathcal{M}}(p, x) = \mathbf{0}$  for all  $x \in W$  different from  $w$ . Then  $\mathcal{M}, w \models p$  and  $\mathcal{M}, w \not\models \Box p$ , and therefore  $\mathcal{M}, w \not\models p \supset \Box p$ .

Let  $\mathcal{F} = \langle W, \emptyset \rangle$  be a frame. Then, trivially  $\mathcal{F} \models F \supset \Box F$  holds, since  $\Box F$  holds in every world  $w \in W$  and every model  $\mathcal{M}$  based on  $\mathcal{F}$ .

**Exercise I1** Show that formulas 3, 6, 7 and 9 (on slide 8) are BHK-valid.

(3)  $(A \wedge B) \supset (B \wedge A)$

The procedure  $\gamma \triangleright \{(A \wedge B) \supset (B \wedge A)\}$  transforms every proof  $\rho = \langle \rho_A, \rho_B \rangle$  of  $(A \wedge B)$  into a proof of  $(B \wedge A)$ , where  $\rho_A$  is a proof of  $A$  and  $\rho_B$  is a proof of  $B$ . The procedure  $\gamma$  can be described as follows:

1. extract the first component  $\rho_A$  from  $\rho$
2. extract the second component  $\rho_B$  from  $\rho$
3. return  $\langle \rho_B, \rho_A \rangle$

(6)  $\perp \supset A$

The procedure  $\gamma \triangleright \{\perp \supset A\}$  takes as input a proof of  $\perp$  and returns a proof of  $A$ . Trivially,  $\gamma$  transforms any given proof of  $\perp$  into a proof of  $A$ , since there are no proofs for  $\perp$ .

(7)  $(A \supset (B \supset C)) \supset ((A \wedge B) \supset C)$

We define the procedure  $\gamma \triangleright \{(A \supset (B \supset C)) \supset ((A \wedge B) \supset C)\}$  as follows: The input of  $\gamma$  is a procedure  $\eta \triangleright \{A \supset (B \supset C)\}$  where the

- input of  $\eta$  is a proof  $\delta \triangleright \{A\}$ , and the
- output of  $\eta$  is a procedure  $\pi \triangleright \{B \supset C\}$ .

The output of  $\gamma$ , i.e. the procedure  $\nu$  – which transforms a proof  $\rho = \langle \rho_A, \rho_B \rangle$  into a proof  $\sigma$  of  $C$  – can be described as follows:

1. extract the first component  $\rho_A$  from  $\rho$
2. apply  $\eta$  to  $\rho_A$ , i.e. compute  $\eta(\rho_A)$ , we get a proof  $\pi$  of  $B \supset C$

3. extract the second component  $\rho_B$  from  $\rho$
4. apply  $\pi$  to  $\rho_B$ , i.e. compute  $\pi(\rho_B)$ , we get a proof  $\sigma$  of  $C$
5. return  $\sigma$

(9)  $(A \wedge (B \vee C)) \supset ((A \wedge B) \vee (A \wedge C))$

Consider the procedure  $\gamma \triangleright \{(A \wedge (B \vee C)) \supset ((A \wedge B) \vee (A \wedge C))\}$ . The input of  $\gamma$  is a proof  $\sigma = \langle \alpha, \rho \rangle$  s.t.  $\alpha \triangleright \{A\}$  and  $\rho = \langle \nu, \rho_0 \rangle \triangleright \{B \vee C\}$ . The procedure  $\gamma$  can be described as follows:

1. extract the first component – i.e.  $\alpha$  – from  $\sigma$
2. extract the second component – i.e.  $\rho = \langle \nu, \rho_0 \rangle$  – from  $\sigma$
3. extract the first component – i.e.  $\nu$  – from  $\rho$
4. extract the second component – i.e.  $\rho_0$  – from  $\rho$
5. create the pair  $\tau = \langle \alpha, \rho_0 \rangle$
6. return the pair  $\langle \nu, \tau \rangle$

**Exercise AD1** Show the validity of the following formula in LK:  $sk(\forall x \forall y \exists z \forall u \exists v F(x, y, z, u, v)) \rightarrow \forall x \forall y \exists z \forall u \exists v F(x, y, z, u, v)$ .

$$sk(\forall x \forall y \exists z \forall u \exists v F(x, y, z, u, v)) = \forall x \forall y \forall u F(x, y, f(x, y), u, g(x, y, u))$$

$$\frac{\frac{\frac{\frac{\frac{\frac{F(a, b, f(a, b), c, g(a, b, c)) \vdash F(a, b, f(a, b), c, g(a, b, c))}{F(a, b, f(a, b), c, g(a, b, c)) \vdash \exists v F(a, b, f(a, b), c, v)} \exists, r}{\forall u F(a, b, f(a, b), u, g(a, b, u)) \vdash \exists v F(a, b, f(a, b), c, v)} \forall, l}{\forall u F(a, b, f(a, b), u, g(a, b, u)) \vdash \forall u \exists v F(a, b, f(a, b), u, v)} \forall, r}{\forall u F(a, b, f(a, b), u, g(a, b, u)) \vdash \exists z \forall u \exists v F(a, b, z, u, v)} \exists, r}{\forall y \forall u F(a, y, f(a, y), u, g(a, y, u)) \vdash \exists z \forall u \exists v F(a, b, z, u, v)} \forall, l}{\forall y \forall u F(a, y, f(a, y), u, g(a, y, u)) \vdash \forall y \exists z \forall u \exists v F(a, y, z, u, v)} \forall, r}{\forall x \forall y \forall u F(x, y, f(x, y), u, g(x, y, u)) \vdash \forall y \exists z \forall u \exists v F(a, y, z, u, v)} \forall, l}{\forall x \forall y \forall u F(x, y, f(x, y), u, g(x, y, u)) \vdash \forall x \forall y \exists z \forall u \exists v F(x, y, z, u, v)} \forall, r}{\vdash \forall x \forall y \forall u F(x, y, f(x, y), u, g(x, y, u)) \rightarrow \forall x \forall y \exists z \forall u \exists v F(x, y, z, u, v)} \rightarrow, r$$

**Remark:**  $a, b$  and  $c$  are free variables.

Why is the inverse implication not valid?

Assuming  $\forall x \forall y \exists z \forall u \exists v F(x, y, z, u, v)$  holds, then one cannot conclude that  $\forall x \forall y \forall u F(x, y, f(x, y), u, g(x, y, u))$  holds for every function  $f$  and  $g$ . Consider an interpretation  $I = (D, \phi, d)$  as counter-example, where

$$\begin{aligned} D &= \{0, 1\} \\ \phi(f)(x, y) &= 1 \text{ for all } x, y \in D \\ \phi(g)(x, y, z) &= 1 \text{ for all } x, y, z \in D \\ \phi(F)(x, y, z, u, v) &= \begin{cases} \mathbf{1} & \text{if } z = v = 0 \\ \mathbf{0} & \text{otherwise} \end{cases} \end{aligned}$$

Then,  $\forall x \forall y \exists z \forall u \exists v F(x, y, z, u, v)$  evaluates to  $\mathbf{1}$  and  $\forall x \forall y \forall u F(x, y, f(x, y), u, g(x, y, u))$  evaluates to  $\mathbf{0}$ . Hence, the inverse implication is not valid.

**Exercise AD2** Prove that if  $\forall x_1 \cdots \forall x_n F$  is satisfiable, then  $\forall x_1 \cdots \forall x_n \delta(F)$  is satisfiable.

By the lemma about the definition we get that  $\forall x_1 \cdots \forall x_n (\epsilon(F) \wedge p_F(x_1, \dots, x_n))$  is satisfiable iff  $\forall x_1 \cdots \forall x_n F$  is satisfiable. It suffices to show that if  $\epsilon(F)$  is satisfiable, then  $\gamma(F) := \bigwedge_{G \in \Sigma(F)} D_G$  is satisfiable. We will perform an inductive proof on the logical complexity of  $F$ .

**Basis**  $F$  is atomic. Then,  $\epsilon(F) = E_F$  and  $E_F$  has the form  $\forall X (p_F(X) \leftrightarrow F)$ , by applying equivalence transformations we get

$$\begin{aligned} &\forall X (p_F(X) \leftrightarrow F) \\ \iff &\forall X ((p_F(X) \rightarrow F) \wedge (F \rightarrow p_F(X))) \\ \iff &\forall X ((\neg p_F(X) \vee F) \wedge (\neg F \vee p_F(X))) \\ \iff &\forall X (\neg p_F(X) \vee F) \wedge \forall X (\neg F \vee p_F(X)). \end{aligned}$$

It is obvious that the last formula is equivalent to  $D_F$ . Since  $\gamma(F) = D_F$ , if  $\epsilon(F)$  is satisfiable then  $\gamma(F)$  is satisfiable.

**Induction hypothesis** Assume that, for all  $H$  with  $lc(H) < m$ , if  $\epsilon(H)$  is satisfiable, then  $\gamma(H)$  is satisfiable.

**Step** Consider a formula  $G$  with  $lc(G) = m$ . We perform a case distinction wrt. the top-level symbol in  $G$ .

**Case**  $G$  is of the form  $\neg H$ . Then,  $E_G$  is of the form  $\forall X(p_G(X) \leftrightarrow \neg p_H(X))$ , by applying equivalence transformations we get

$$\begin{aligned} & \forall X(p_G(X) \leftrightarrow \neg p_H(X)) \\ \iff & \forall X((p_G(X) \rightarrow \neg p_H(X)) \wedge (\neg p_H(X) \rightarrow p_G(X))) \\ \iff & \forall X((\neg p_G(X) \vee \neg p_H(X)) \wedge (p_G(X) \vee p_H(X))) \\ \iff & \forall X(\neg p_G(X) \vee \neg p_H(X)) \wedge \forall X(p_G(X) \vee p_H(X)). \end{aligned}$$

Thus,  $E_G$  is equivalent to  $D_G$  and furthermore if  $E_G$  is satisfiable then  $D_G$  is satisfiable. Hence, by the induction hypothesis, the equivalence of  $E_G$  and  $D_G$ , and the construction of  $D_G$ , we get that if  $\epsilon(G)$  is satisfiable, then  $\gamma(G)$  is satisfiable.

**Case**  $G$  is of the form  $H_1 \wedge H_2$ . Then,  $E_G$  is of the form  $\forall X(p_G(X) \leftrightarrow (p_{H_1}(Y) \wedge p_{H_2}(Z)))$  where  $X = Y \cup Z$ , by applying equivalence transformations we get

$$\begin{aligned} & \forall X(p_G(X) \leftrightarrow (p_{H_1}(Y) \wedge p_{H_2}(Z))) \\ \iff & \forall X((p_G(X) \rightarrow (p_{H_1}(Y) \wedge p_{H_2}(Z))) \wedge ((p_{H_1}(Y) \wedge p_{H_2}(Z)) \rightarrow p_G(X))) \\ \iff & \forall X((\neg p_G(X) \vee (p_{H_1}(Y) \wedge p_{H_2}(Z))) \wedge (\neg(p_{H_1}(Y) \wedge p_{H_2}(Z)) \vee p_G(X))) \\ \iff & \forall X((\neg p_G(X) \vee p_{H_1}(Y)) \wedge (\neg p_G(X) \vee p_{H_2}(Z)) \wedge (\neg p_{H_1}(Y) \vee \neg p_{H_2}(Z) \vee p_G(X))) \\ \iff & \forall X(\neg p_G(X) \vee p_{H_1}(Y)) \wedge \forall X(\neg p_G(X) \vee p_{H_2}(Z)) \wedge \\ & \forall X(\neg p_{H_1}(Y) \vee \neg p_{H_2}(Z) \vee p_G(X)). \end{aligned} \tag{1}$$

Furthermore  $D_G$  is of the form

$$\forall X(\neg p_H(X) \vee p_{H_1}(X)) \wedge \forall X(\neg p_G(X) \vee p_{G_2}(X)) \wedge \forall X(p_G(X) \vee \neg p_{G_1}(X) \vee \neg p_{G_2}(X)). \tag{2}$$

It is obvious that (1) is equivalent to (2). Hence, by the induction hypothesis, the equivalence of (1) and (2), and the construction of  $D_G$ , we get that if  $\epsilon(G)$  is satisfiable, then  $\gamma(G)$  is satisfiable.

**Case**  $G$  is of the form  $H_1 \circ H_2$  st.  $\circ \in \{\vee, \rightarrow\}$ . These cases are analogous to the one above.

**Case**  $G$  is of the form  $\forall v H$ . Then,  $E_G$  is of the form  $\forall X(p_G(X) \leftrightarrow \forall v p_H(X, v))$ , by applying equivalences we get

$$\begin{aligned} & \forall X(p_G(X) \leftrightarrow \forall v p_H(X, v)) \\ \iff & \forall X((p_G(X) \rightarrow \forall v p_H(X, v)) \wedge (\forall v p_H(X, v) \rightarrow p_G(X))) \\ \iff & \forall X((\neg p_G(X) \vee \forall v p_H(X, v)) \wedge (\neg \forall v p_H(X, v) \vee p_G(X))) \\ \iff & \forall X((\neg p_G(X) \vee \forall v p_H(X, v)) \wedge (\exists v \neg p_H(X, v) \vee p_G(X))) \\ \iff & \forall X \forall v (\neg p_G(X) \vee p_H(X, v)) \wedge \forall X (\exists v \neg p_H(X, v) \vee p_G(X)) \end{aligned}$$

Considering the skolem form of the last formula

$$\forall X \forall v (\neg p_G(X) \vee p_H(X, v)) \wedge \forall X (\neg p_H(X, f(X)) \vee p_G(X)),$$

we obtain a formula which is satisfiable iff  $E_G$  is satisfiable. Furthermore it is easy to see that if this skolem form is satisfiable, then so is  $D_G$ .

**Case**  $G$  is of the form  $\exists v H$ . This case is analogous to the one above.

**Exercise AD3** Compute  $\delta(F)'$  for  $F = \exists y(p(x, g(x, y)) \rightarrow \exists z \neg(p(g(x, z), y) \vee p(y, x)))$ .

$$\begin{aligned} D_{\vee} : & \quad \forall xyz (\neg p_{\vee}(x, y, z) \vee p(g(x, z), y) \vee p(y, x)) \wedge \\ & \quad \forall xyz (p_{\vee}(x, y, z) \vee \neg p(g(x, z), y)) \wedge \\ & \quad \forall xyz (p_{\vee}(x, y, z) \vee \neg p(y, x)) \\ D_{\neg} : & \quad \forall xyz (\neg p_{\neg}(x, y, z) \vee \neg p_{\vee}(x, y, z)) \wedge \\ & \quad \forall xyz (p_{\neg}(x, y, z) \vee p_{\vee}(x, y, z)) \\ D_{\exists_1} : & \quad \forall xy (\neg p_{\exists_1}(x, y) \vee p_{\neg}(x, y, f(x, y))) \wedge \\ & \quad \forall xy \forall z (p_{\exists_1}(x, y) \vee \neg p_{\neg}(x, y, z)) \\ D_{\rightarrow} : & \quad \forall xy (\neg p_{\rightarrow}(x, y) \vee \neg p(x, g(x, y)) \vee p_{\exists_1}(x, y)) \wedge \\ & \quad \forall xy (p_{\rightarrow}(x, y) \vee p(x, g(x, y))) \wedge \\ & \quad \forall xy (p_{\rightarrow}(x, y) \vee \neg p_{\exists_1}(x, y)) \\ D_{\exists_2} : & \quad \forall x (\neg p_{\exists_2}(x) \vee p_{\rightarrow}(x, h(x))) \wedge \\ & \quad \forall x \forall y (p_{\exists_2}(x) \vee \neg p_{\rightarrow}(x, y)) \end{aligned}$$

Considering  $\delta(F)'$  as a set of clauses we get

$$\begin{aligned} \{ & \neg p_{\vee}(x, y, z) \vee p(g(x, z), y) \vee p(y, x), p_{\vee}(x, y, z) \vee \neg p(g(x, z), y), p_{\vee}(x, y, z) \vee \neg p(y, x), \\ & \quad \neg p_{\neg}(x, y, z) \vee \neg p_{\vee}(x, y, z), p_{\neg}(x, y, z) \vee p_{\vee}(x, y, z), \\ & \quad \neg p_{\exists_1}(x, y) \vee p_{\neg}(x, y, f(x, y)), p_{\exists_1}(x, y) \vee \neg p_{\neg}(x, y, u), \\ & \quad \neg p_{\rightarrow}(x, y) \vee \neg p(x, g(x, y)) \vee p_{\exists_1}(x, y), p_{\rightarrow}(x, y) \vee p(x, g(x, y)), p_{\rightarrow}(x, y) \vee \neg p_{\exists_1}(x, y), \\ & \quad \neg p_{\exists_2}(x) \vee p_{\rightarrow}(x, h(x)), p_{\exists_2}(x) \vee \neg p_{\rightarrow}(x, u), p_{\exists_2}(x) \}. \end{aligned}$$

**Exercise AD4** Find all Robinson-resolvents of  $C = p(x, f(x)) \vee p(a, y)$  and  $D = \neg p(x, y) \vee \neg p(a, f(x)) \vee \neg p(f(x), f(y))$ . Specify all used renamings, mgus and (implicit) factors.

As a first step, we only consider Robinson-resolvents of trivial factors, and we consider the variable disjoint variant  $C' = p(u, f(u)) \vee p(a, v)$  with  $\nu = \{x \mapsto u, y \mapsto v\}$ .

- Resolving upon first literal of  $C'$  and first literal of  $D$  using mgu  $\{x \mapsto u, y \mapsto f(u)\}$  we obtain the resolvent  $p(a, v) \vee \neg p(a, f(u)) \vee \neg p(f(u), f(f(u)))$ .
- Resolving upon first literal of  $C'$  and second literal of  $D$  using mgu  $\{u \mapsto a, x \mapsto a\}$  we obtain the resolvent  $p(a, v) \vee \neg p(a, y) \vee \neg p(f(a), f(y))$ .
- Resolving upon first literal of  $C'$  and third literal of  $D$  using mgu  $\{u \mapsto f(x), y \mapsto f(x)\}$  we obtain the resolvent  $p(a, v) \vee \neg p(x, f(x)) \vee \neg p(a, f(x))$ .
- Resolving upon second literal of  $C'$  and first literal of  $D$  using mgu  $\{x \mapsto a, y \mapsto v\}$  we obtain the resolvent  $p(u, f(u)) \vee \neg p(a, f(a)) \vee \neg p(f(a), f(v))$ .
- Resolving upon second literal of  $C'$  and second literal of  $D$  using mgu  $\{v \mapsto f(x)\}$  we obtain the resolvent  $p(u, f(u)) \vee \neg p(x, y) \vee \neg p(f(x), f(y))$ .

In the next step, we consider Robinson-resolvents of non-trivial factors.

- Let  $C' = p(a, f(a))$  be a factor of  $C$  by using the mgu  $\{x \mapsto a, y \mapsto f(a)\}$ . Resolving upon the first literal of  $C'$  and the first literal of  $D$  using mgu  $\{x \mapsto a, y \mapsto f(a)\}$  yields the resolvent  $\neg p(a, f(a)) \vee \neg p(f(a), f(f(a)))$ .
- Let  $C' = p(a, f(a))$  be a factor of  $C$  by using the mgu  $\{x \mapsto a, y \mapsto f(a)\}$ . Resolving upon the first literal of  $C'$  and the second literal of  $D$  using mgu  $\{x \mapsto a\}$  yields the resolvent  $\neg p(a, y) \vee \neg p(f(a), f(y))$ .
- Let  $D' = \neg p(a, f(a)) \vee \neg p(f(a), f(f(a)))$  be a factor of  $D$  by using the mgu  $\{x \mapsto a, y \mapsto f(a)\}$ . Resolving upon the first literal of  $C$  and the first literal of  $D'$  using mgu  $\{x \mapsto a\}$  yields the resolvent  $p(a, y) \vee \neg p(f(a), f(f(a)))$ .
- Let  $D' = \neg p(a, f(a)) \vee \neg p(f(a), f(f(a)))$  be a factor of  $D$  by using the mgu  $\{x \mapsto a, y \mapsto f(a)\}$ . Resolving upon the first literal of  $C$  and the second literal of  $D'$  using mgu  $\{x \mapsto f(a)\}$  yields the resolvent  $p(a, y) \vee \neg p(a, f(a))$ .
- Let  $D' = \neg p(a, f(a)) \vee \neg p(f(a), f(f(a)))$  be a factor of  $D$  by using the mgu  $\{x \mapsto a, y \mapsto f(a)\}$ . Resolving upon the second literal of  $C$  and the first literal of  $D'$  using mgu  $\{y \mapsto f(a)\}$  yields the resolvent  $p(x, f(x)) \vee \neg p(f(a), f(f(a)))$ .
- Let  $C' = p(a, f(a))$  be a factor of  $C$  by using the mgu  $\{x \mapsto a, y \mapsto f(a)\}$  and  $D' = \neg p(a, f(a)) \vee \neg p(f(a), f(f(a)))$  be a factor of  $D$  by using the mgu  $\{x \mapsto a, y \mapsto f(a)\}$ . We can immediately resolve upon the first literal of  $C'$  and the first literal of  $D'$  and we obtain the resolvent  $\neg p(f(a), f(f(a)))$ .

**Exercise AD5** Describe another resolution refinement (other than ordered resolution) in detail. (You do not have to give a completeness proof).

In the following, we will consider *hyperresolution* as a resolution refinement. The description is based on the paper about *Resolution Theorem Proving*<sup>1</sup>, especially the definition and the example are taken from this work.

*Hyperresolution* is the deduction principle where only *positive* clauses and the empty clause are derivable. A clause is called *positive* if it is of the form  $\vdash A_1, \dots, A_n$ , where  $A_1, \dots, A_n$  are atoms. The derivation of just positive clauses is only possible if we use many-step instead of one-step inferences.

**Definition 1.** Let  $C$  be a nonpositive clause and  $D_1, \dots, D_n$  be positive clauses; then  $S : (C; D_1, \dots, D_n)$  is called a *clash sequence*. Let  $C_0 = C$  and  $C_{i+1} \in \text{Res}(\{C_i, D_{i+1}\})$  for  $i = 1, \dots, n-1$ . If  $C_n$  is defined and positive then it is called a *hyperresolvent* of  $S$ .

**Remark.**  $\text{Res}(C)$  denotes the set of resolvents definable from a set of clauses  $C$ .

**Example 1.** Let  $C = \{C_1, C_2, C_3, C_4\}$  be a set of clauses where

$$\begin{aligned} C_1 &= \vdash p(a, b) \\ C_2 &= \vdash p(b, a) \\ C_3 &= p(x, y), p(y, z) \vdash p(x, z) \\ C_4 &= p(a, a) \vdash . \end{aligned}$$

We can construct a refutation of  $C$ :

$$\frac{\vdash p(a, b) \quad \frac{\vdash p(b, a) \quad p(x, y), p(y, z) \vdash p(x, z)}{p(x, b) \vdash p(x, a)}}{\vdash p(a, a)} \quad p(a, a) \vdash}{\vdash}$$

Note that  $\vdash p(a, a)$  is a hyperresolvent of the clash sequence  $(C_3; C_1, C_2)$ .

<sup>1</sup>Alexander Leitsch, <http://www.logic.at/staff/leitsch/httpd/resolv.pdf>